

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS **Box Patent Application** Washington, D. C. 20231

Sir:



nonpro	Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the ovisional patent application of:
	Richard H. HARVEY for
	Inventor
	SYSTEM AND METHOD OF OPERATING A DATABASE
	Title of Invention
APPLIC	CATION ELEMENTS ENCLOSED:
1. <u>X</u>	Specification (total pages <u>36</u> ) including:
	a. <u>6</u> pages of claims ( <u>29</u> claims)
	b1_ page Abstract
2. <u>X</u>	_7_ sheets of informal X_ formal drawings (Figs. <u>1-9</u> )
3	Oath or declaration of Applicant(s) ( total pages)
	a Newly executed (original or copy)
	b. Copy from a prior application (37 CFR 1.63(d))
	(for continuation/divisional with Item 14 completed)
4	Deletion of Inventor(s)
	Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
	and 1.33(b)
5	Incorporation By Reference
	The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
	in item 3b is considered as being part of the disclosure of the accompanying application and is hereby
	incorporated by reference therein.
6	Microfiche Computer Program (Appendix)
APPLIC	CATION PARTS ENCLOSED:
7	An assignment of the invention to (cover sheet & document(s))
8	37 CFR 3.73(b) Statement (when there is an assignee)
9	Power of Attorney
	a Newly executed (original or copy)
	b Copy from a prior application

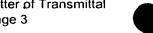
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10	Information Disclosure Statement (IDS)/PTO-1449
	Copies of IDS Citations
11	A Preliminary Amendment
12. <u>X</u>	Return Receipt Postcard (MPEP 503)
13	A small entity statement under 37 C.F.R. §1.9 and §1.27
	Statement filed in prior application, status still proper and desired
14. <u>X</u>	If a Continuing Application, check the appropriate box and supply the requisite information:
	X Continuation-in-part (CIP) of prior application No.: 09/427,266 filed October 26, 1999 and a
	CIP of application Serial No. <u>09/721,806</u> filed <u>November 24, 2000</u> .
	Divisional of prior application No.: filed
	Continuation of prior application No.: filed
15	Amend the specification by inserting before the first line of page one:This is a continuation
	divisional of application Serial No filed
16	Priority of application No(s) filed in on Applicant hereby claims priority under
	35 U.S.C. § 119.
	A certified copy of priority application No is enclosed
	A certified copy of priority application No has been filed in prior application
	S.N filed
17	Other (identify)

The filing fee is calculated as follows:

## CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number		Number		Small	Other		Small	Other
	Filed		Extra*		Entity	Entity		Entity	Entity
Total	29-20	=	9	x	\$ 9	\$ 18	=	\$	\$ 162
Claims									
Indep.	5-3	=	2	x	\$ 40	\$ 80	=	\$	\$ 160
Claims									
Multiple Dependent				\$135	\$270	=	\$	\$0	
Claims Presented: Yes No_X_							ļ 		
If the difference in column 1 is less than zero,				Basic Fee			\$ 355	\$ 710	
enter "0" in column 2				Total Fee				\$ 1,032	



- 18. X A check in the amount of \$1,032.00 to cover the filing fee.
- 19. Please charge Deposit Account No. <u>03-3125</u> in the amount of <u>\$40.00</u> for recordation of the Assignment.
- 20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
  - \_X\_ Filing fees under 37 C.F.R. § 1.16.
  - \_X\_ Patent application processing fees under 37 C.F.R. §1.17.
  - The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- 21. X Three copies of this sheet are enclosed.
- 22.\_X Other (identify) Cover Page

#### **CORRESPONDENCE ADDRESS:**

RICHARD F. JAWORSKI Registration No. 33,515 Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 United States of Americas

Tel.: (212) 278-0400 Fax: (212) 391-0525

Respectfully submitted,

RICHARD F. JAWORSKI, Reg. No. 33,515

Attorney for Applicant

c/o Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

Tel.: (212) 278-0400

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Richard H. Harvey

Serial No.

Not Yet Assigned

Group Art Unit:

Date Filed

Concurrently Herewith

Examiner:

For

SYSTEM AND METHOD OF OPERATING A DATABASE

1185 Avenue of the Americas New York, N.Y. 10036

Assistant Commissioner for Patents **Box Patent Application** Washington, D.C. 20231

# EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EM030215141US

Date of Deposit: April 27, 2001

I hereby certify that the above-identified application consisting of a 36-page specification, cover page, 29 claims, 7 sheets of formal drawings, 3 copies of transmittal form, and check for \$1032 filing fee, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Mail Room Employee

Depositing Express Mail Material

Attorney for Applicant:

RICHARD F. JAWORSKI, Reg. No. 33,515

Cooper & Dunham LLP Tel: (212) 278-0400